



Regulations For The Appointment And Service Of Council Members 2011

Made 28 July 2011 by the Council for Licensed Conveyancers with the approval of the Legal Services Board under paragraph 4 of Schedule 3 to the Administration of Justice Act 1985.

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1. Citation, Commencement and Interpretation

- 1.1 These Regulations may be cited as the Regulations for the Appointment and Service of Council Members 2011.
- 1.2 These Regulations shall come into force on 30 September 2011 on which date the Regulations for the Appointment and Service of Council Members 2009 shall cease to have effect save that the terms of office of the Chair and members of the Council appointed in accordance with the Appointment and Service of Council Members 2009 shall continue in effect until expiry of their terms of office unaffected by the coming into force of these Regulations. These persons shall be deemed to be appointed in accordance with these Regulations.
- 1.3 In these Regulations:
 - 1.3.1 unless the contrary intention appears, words importing the masculine gender include the feminine, words in the singular include the plural and words in the

plural include the singular;

- 1.3.2 “the 1985 Act” the Administration of Justice Act 1985;
- “the 2007 Act” the Legal Services Act 2007;
- “Authorised Person” has the meaning given by section 18 of the Legal Services Act 2007
- “Chair” the Chair of the Council;
- “CLC Body” a Recognised Body or a Licensed Body in either case regulated by the CLC;
- “Company” has the meaning given by section 1(1) of the Companies Act 2006;
- “the Council” the Council for Licensed Conveyancers established under section 12 of the 1985 Act;
- “Chief Executive Officer” the Chief Executive Officer of the Council;
- “Disciplinary Order” an order is made against a person by an Approved Regulator (other than an order which provides only for the payment of costs by him);
- “Lay Member” a person who has never been a Licensed Conveyancer nor an Authorised Person in relation to an activity which is a reserved legal activity;
- “LC Member” a Licensed Conveyancer or any other Authorised Person;
- “Licensed Body” a body which provides legal services to the public and in which a person who is not an Authorised Person is a Manager and/or owner as provided at section 72 of the 2007 Act;
- “Licensed Conveyancer” any person holding a licence in force granted by the Council under Part II of the 1985 Act.
- “Limited Liability Partnerships Act 2000” the Limited Liability Partnerships Act 2000, the Limited Liability Partnerships Regulations 2001 and any other regulations made under that Act;
- “LLP Member” a member of a Limited Liability Partnership;
- “Manager” in relation to a CLC Body, means a person who is:

- (a) a member of the CLC Body, if the CLC Body is a Company and its affairs are managed by its members;
- (b) a director of the CLC Body, if the CLC Body is a Company and paragraph (a) does not apply;
- (c) a partner, if the CLC Body is a partnership;
- (d) a LLP member, if the CLC Body is a Limited Liability Partnership;
- (e) a member of its governing body, if the CLC Body is an unincorporated body (other than a partnership); and
- (f) a Licensed Conveyancer, if sub-paragraphs (a)-(e) do not apply and the affairs of the CLC Body are not managed by another Licensed Conveyancer;

“Recognised Body” a body recognised by the Council under section 32 of the 1985 Act to provide Regulated Services to the public;

1.3.3 Other expressions in these Rules shall, except where otherwise stated, have the meanings given to them by the 1985 Act and the 2007 Act.

2. Composition of the Council

2.1 The Council (including the Chair) shall consist of up to nine members appointed in accordance with regulation 3 provided the total number of Lay Members appointed exceeds by one the total number of LC Members appointed.

3. Appointment

3.1 The Chair and members of the Council shall be appointed in accordance with regulations 3.2 to 3.6.

3.2 Where a vacancy occurs by virtue of the operation of regulation 5.1 (but not regulation 5.1(c)) in any year the vacancy shall be filled as soon as may be convenient.

3.3 The posts of Chair and members of the Council shall be appointed following an open recruitment process conducted by the Council taking into account good practice guidance for public appointments.

3.4 The appointment of Chair and members of the Council shall be made by a

selection panel and ratified by the Council.

3.5 The selection panel shall comprise up to five individuals, chosen by the Council after considering the advice of the Chief Executive Officer.

3.6 In making appointments under rule 3.4 the selection panel shall take into account the desirability that (between them) the Chair and members of the Council have experience in or knowledge of:

- (a) the provision of conveyancing services, probate services and other legal services regulated by the Council;
- (b) legal education and legal training;
- (c) consumer affairs;
- (d) competition matters;
- (e) market sectors related to services regulated by the Council;
- (f) the maintenance of the professional standards of persons who provide legal services;
- (g) the maintenance of standards in professions other than the legal profession;
- (h) the handling of complaints;
- (i) commercial affairs;
- (j) non-commercial legal services;
- (k) the differing needs of consumers.

3.7 A person shall not be eligible for appointment to the Council if:

- (a) he has been convicted of a criminal offence involving fraud, dishonesty, deception or violence or an indictable offence unless the conviction is spent within the meaning of the Rehabilitation of Offenders Act 1974;
- (b) he is or has been an undischarged bankrupt or has made a composition or arrangement with his creditors unless:
 - (1) the bankruptcy is annulled either on the ground that he ought not to have been adjudged bankrupt or, where he is not subject to a Bankruptcy Restrictions Order or interim order, on the ground that his debts have been paid in full,

on the date of the annulment; or

- (2) in the case of a composition or arrangement with his creditors, he pays his debts in full on the date on which payment is completed or on the expiration of five years from the date on which the terms of the deeds of composition or arrangement are fulfilled.
- (c) he lacks capacity (within the meaning of the Mental Capacity Act 2005) and powers in relation to sections 15-20 or section 48 of that Act have been exercised in relation to him;
- (d) a Disciplinary Order is made against him;
- (e) the Council's power of intervention have been exercised against him or his practice in accordance with part 1 of schedule 5 to the 1985 Act, or against a CLC Body of which he is a Manager ;
- (f) the Council is satisfied that (other than as provided by regulation 3.7(d)) an order has been made against him by his professional body (other than an order which provides only for the payment of costs by him);
- (g) he is an employee, self-employed officer or general consultant of any organisation having as its main object the protection or promotion of the interests, or of the defence, of Licensed Conveyancers or any other Authorised Persons.

4. Tenure of Office

- 4.1 Subject to regulation 4.2 and 4.3, the term of office of the Chair and any Council member takes effect on the date specified in the letter of appointment for a period not exceeding four years.
- 4.2 Subject to regulation 4.3, the retiring Chair and members of the Council may offer themselves subject to review for re-appointment once only, for a further period not exceeding four years.
- 4.3 A person elected or nominated as a member of the Council in accordance with the Regulations for Election, Nomination and Service of Council Members 2004 shall be eligible for appointment in accordance with these Regulations once only, for a period not exceeding four years even if he has already served more than one term of office under the Regulations for Election, Nomination and Service of Council Members 2004.

5. Vacancies

- 5.1 A vacancy in the office of Chair or of a Council member shall automatically occur

and his right to hold office shall cease immediately:

- (a) on his death;
- (b) on the receipt by the Council of his written resignation;
- (c) on the expiration of his term of office;
- (d) if, without consent, he fails in any Council year to attend in aggregate more than 60% of the meetings of the Council and of any Committee of which he is a member;
- (e) if he is convicted of a criminal offence involving fraud, dishonesty, deception or violence or an indictable offence;
- (f) if he is adjudged bankrupt, is the subject of a Bankruptcy Restrictions Order or interim order, or makes a composition or arrangement with his creditors;
- (g) if he lacks capacity (within the meaning of the Mental Capacity Act 2005) and powers in relation to sections 15-20 or section 48 of that Act have been exercised in relation to him;
- (h) if, being a Licensed Conveyancer,
 - (1) he ceases to hold a licence;
 - (2) a Disciplinary Order Act is made against him;
 - (3) his licence is suspended pursuant to section 24 of the 1985 Act; or
 - (4) the Council's powers of intervention are exercised against him or his practice in accordance with part I of schedule 5 to the 1985 Act or against a CLC Body of which he is a Manager;
- (i) if the Council is satisfied that as a member of another profession an adverse determination has been made against him by a disciplinary tribunal or committee established to regulate the activities and the conduct of members of his profession (other than an order which provides only for the payment of costs by him);
- (j) if he is or becomes an employee, self-employed officer or general consultant of any organisation having as its main object the protection or promotion of the interests, or the defence, of Licensed Conveyancers or any other approved persons;
- (k) if he has failed to disclose to the Council any matter referred to in

regulation 3.7 subparagraphs (a), (b), (c), (d), (e), (f) or (g);

(l) if he is removed from office under regulation 8.1.

5.2 In the event that a vacancy occurs by reason of the operation of Regulation 5.1, the Chief Executive Officer shall inform the Council of that fact at the next meeting of the Council.

6. Remuneration

6.1 The Council will pay to the Chair, other members of the Council and members of the selection panel fees and reasonable expenses, such fees to be determined by the Council and to be reviewed periodically.

7. Suspension

7.1 The Chair or a Council member is suspended with immediate effect in the event that:-

- (a) he is charged with an offence which is the subject of sub-paragraph (e) of Regulation 5.1;
- (b) he is served with a bankruptcy petition, is the subject of a Bankruptcy Restrictions Order or issues a bankruptcy petition on his own behalf; or
- (c) he receives notice of any application against him for an Order under sub-paragraph (h)(2) or (i) of Regulation 5.1.

7.2 Any suspension taking effect under Regulation 7.1 shall automatically be revoked in the following circumstances: -

- (a) under sub-paragraph 7.1(a) if no conviction ensues;
- (b) under sub-paragraph 7.1(b) if the bankruptcy petition issued is disposed of without a declaration of bankruptcy being made against him; or
- (c) under sub-paragraph 7.1(c) in the event that the application against the Council member is withdrawn or dismissed or the only order made is for the payment of costs.

8. Removal

8.1 The Council may declare a vacancy in the office of Chair or of a Council member who has breached the Standing Orders of the Council, the Standing Orders for Committees or the Code of Conduct applicable to members of the Council, if at least three-quarters of the Council members are present at the relevant meeting

and of the members present agree in which event the Chair or Council member's right to hold office shall cease immediately.

9. Standing Orders

9.1 Proceedings of the Council shall be governed by the Standing Orders made for the Council by the Council in accordance with paragraph 9(2) of schedule 3 to the 1985 Act.